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# Focus on informative graphs! Semi-supervised active learning for graph-level classification

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# A B S T R A C T

Graph-level classification is a critical problem in social analysis and bioinformatics. Since annotated labels are typically costly, we intend to study this challenging task in semi-supervised scenarios with limited budgets. Inspired by the fact that active learning is capable of interactively querying an oracle to annotate a small number of informative examples in the unlabeled dataset, we develop a novel Semi-supervised active learning framework termed GraphSpa for graph-level classification. To make the most of labeling budgets, we propose an effective unlabeled data selection strategy that takes both local similarity and global semantic structure into account. Specifically, we first construct an adaptive queue with labeled samples and select informative samples that have a low degree of similarity to the queue using the Min-Max principle from the local view. Further, we introduce class prototypes and select samples with a large predictive loss discrepancy from the global view. To harness the full potential of unlabeled data, we develop a semi-supervised active learning framework on the basis of our fusion selection strategy coupled with graph contrastive learning during active learning. The effectiveness of our GraphSpa is validated against state-of-the-art methods through experimental results on diverse real-world benchmark datasets.

## **1. Introduction**

A great many scenarios in the real-world are highly relevant to graph-structured data [\[1\]](#page-9-1), such as biological networks, molecules, and traffic networks. One critical problem in modeling graph-structured data is graph-level classification, which targets at analyzing the properties of the whole graphs. This problem has a variety of downstream applications in biology and chemistry, including property prediction for molecules [[2\]](#page-9-2) and functionality analysis for compounds (e.g., mutagen or non-mutagen) [\[3\]](#page-9-3).

More recently, a large number of works have been proposed [\[4–](#page-9-4)[6](#page-9-5)] to tackle this problem. Early methods mostly leverage multiple graph kernels [[7](#page-9-6)] to embed graphs into an embedding space in an unsupervised manner. Representative kernels include shortest-path kernel, random walk kernel and Weisfeiler–Lehman kernel. Unfortunately, these methods usually acquire prior knowledge from experts and thus cannot learn structural information adaptively from the data. To address this, graph neural networks (GNNs) [[8](#page-9-7)[,9\]](#page-9-8) have been introduced into this topic to

generate effective graph embeddings for downstream tasks [[10](#page-9-9)[–12](#page-9-10)]. Specifically, in each graph sample, a node receives information from its neighbors at each step and the neighborhood information is combined with its original representation to update the node representation. Afterward, a summarization operation is adopted to aggregate all of the updated node representations into an effective graph representation for graph-level classification.

Among the literature [\[4,](#page-9-4)[13\]](#page-9-11), GNN-based methods are usually datahungry which indicates that they require massive labeled data to promise adequate supervisory signals. Regrettably, graph-level annotation generally requires domain experts, which are extremely expensive in specific fields [[2](#page-9-2)]. For example, characterizing the properties of a simple molecule using density functional theory can often require several hours [[14\]](#page-9-12). To reduce the annotation cost, two aspects may be naturally leveraged. On the one hand, it will be helpful to judiciously select the most informative unlabeled graphs for expert labeling. On the other hand, there exist a good deal of unlabeled graphs and their

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topological structures may benefit graph classification if used appropriately. Motivated by these considerations, this paper studies the problem of semi-supervised active learning for graph classification, which aims to selectively annotate the unlabeled data with limited budgets.

However, directly applying active learning techniques to graph classification is a non-trivial problem due to domain-specific characteristics. While various active learning techniques [[15–](#page-9-13)[17\]](#page-9-14) have been developed to address this issue in other domains such as vision and natural language processing, they cannot be utilized directly for graph classification. As such, it is necessary to integrate active learning techniques into graph-level classification in a principled way due to the following reasons. To begin, learning graph-level representation is challenging since it involves dealing with a mass of graphs containing various nodes, and label scarcity would further aggravate the difficulties. Moreover, quantifying an informative graph needs to consider extra complex topological semantics of samples from both local and global perspectives. To be more specific: (i) *From a local view, an informative graph itself should be distinct from the labeled graphs*, since similar graphs tend to have analogous properties. This considers the sample–sample relationships from a local perspective; (ii) *From a global view, the representations of the whole collection of graphs should reflect the task-oriented semantic structure of the dataset*, enabling the graphs to be classified properly, and instead the graphs with fuzzy semantic prototypes are more instructive for model training. This considers the sample–class relationships from a global perspective. Towards this end, it is highly desirable to have a promising means to select informative graphs from both local and global views.

Having realized the above challenges with existing methods, in this paper, we propose a novel semi-supervised active learning framework GraphSpa for graph-level classification, which develops an effective fusion selection strategy from both local similarity and global semantic structure. On the one hand, we first employ a random walk graph kernel to calculate pairwise graph similarity and then select samples minimizing the maximum similarity between input graphs and a queue of labeled graphs following the Min-Max principle from a local view. On the other hand, we measure the semantic discrepancy of label distribution by comparing graph representations and class prototypes at different optimization steps, and then select informative graphs with larger semantic discrepancy from a global view. To fully leverage a wealth of available unlabeled graphs, we develop a semi-supervised active learning framework that augments our hybrid fusion selection strategy coupled with graph contrastive learning to further enhance the capability of semantic discrimination. In summary, we highlight our contributions as follows:

- We develop an effective fusion selection strategy to select informative unlabeled graphs for active learning, which explores graph semantics from both local and global views.
- We propose a novel semi-supervised active learning framework based on our proposed fusion selection strategy, which integrates contrastive learning and active learning for unlabeled graphs.
- Our approach has been proven superior to various state-of-theart methods through experiments conducted across a spectrum of well-established benchmarks.

#### **2. Related work**

#### *2.1. Graph neural networks*

Graph Neural Networks (GNNs) have attracted considerable attention due to their capability of modeling graph-structured data  $[1,8]$  $[1,8]$  $[1,8]$ , [18\]](#page-9-15). Typically, most existing methods [[5](#page-9-16)[,13](#page-9-11),[19\]](#page-9-17) use a neighborhood aggregation function to iteratively update the node representation by aggregating the embeddings of its neighbors, and then condensing them into a graph-level representation. For example, SUGAR [[13\]](#page-9-11) proposes to learn powerful representations of sampled subgraphs and incorporates

self-supervised learning to enhance the performance. They obtain stateof-the-art performance due to their efficacy in learning sophisticated graph-level representations. However, these methods typically rely on supervised training, demanding extensive labeled data for optimization, a task that can be expensive and resource-intensive to annotate in real-world scenarios. With GraphSpa, apart from learning graph-level representations obtained by GNNs, we also benefit from active learning to selectively annotate informative unlabeled data with limited budgets in a semi-supervised framework.

## *2.2. Active learning*

Our work is related to active learning, which attempts to annotate samples progressively to achieve excellent performance at a low annotation cost [\[15](#page-9-13)[–17](#page-9-14)]. Most existing methods can be divided into three categories: uncertainty-based methods [\[20](#page-9-18)[–22](#page-9-19)], diversity-based methods [\[23](#page-9-20)[–25](#page-9-21)], and those that are based on model performance change [[26–](#page-9-22)[28\]](#page-9-23). Uncertainty-based methods select the most uncertain samples via using criteria such as maximum entropy or maximum margin. For example, Wang et al. [[20\]](#page-9-18) integrate uncertainty, diversity, and density in sample selection through sparse modeling using Gaussian kernels for representing the uncertainty of unlabeled data. Diversity-based methods choose diverse examples which can maximally span the input space. For instance, Wang et al. [[20\]](#page-9-18) introduces two diversity criteria, clustering-based and fuzzy rough set-based, for MIAL using an SVM-based MIL classifier. These criteria enhance the selection of bags by considering both informativeness and diversity. The last category assesses the future status of the model and chooses examples which enable optimal model improvement. For example, Freytag et al. [[28\]](#page-9-23) presents a novel active learning strategy that quantifies the expected change in model outputs, encompassing prior methods relying on expected model change and embracing the underlying data distribution. Compared with existing approaches, our GraphSpa combines the advantages of the first two methods from both global and local perspectives respectively, and focuses on tackling the challenging graph classification task with a minimal annotation cost.

#### *2.3. Semi-supervised learning*

Semi-supervised learning is another related topic to our study. Selftraining has been extensively studied for a long time [[29,](#page-9-24)[30](#page-9-25)]. These methods mostly utilize the classifier to predict the categorization information for unlabeled samples and then utilize the well-classified samples to supervise the optimization process. For example, Noisy Student Training [[30\]](#page-9-25), an extension of self-training and distillation, employs larger student models and introduces noise during learning. Consistency learning is also widely used for semi-supervised learning [[31,](#page-9-26)[32\]](#page-9-27). These methods usually enforce the model to output consistent output after adding the perturbation. For instance, Tarvainen et al. [\[32](#page-9-27)] introduces Mean Teacher, a method that improves test accuracy by averaging model weights instead of label predictions and allows training with fewer labels compared to Temporal Ensembling [[31\]](#page-9-26). In the literature, several works have proposed to study semi-supervised graph classification [[33–](#page-9-28)[36\]](#page-9-29). InfoGraph [\[33](#page-9-28)], GraphCL [\[34](#page-9-30)], JOAO [\[35](#page-9-31)] and Dual-Graph [[36](#page-9-29)] both extend graph contrastive learning to semi-supervised scenarios and improve the classification performance. Additionally, there exist some algorithms combining semi-supervised learning and active learning [[15–](#page-9-13)[17](#page-9-14),[37,](#page-9-32)[38\]](#page-9-33). For example, Gao et al. [[15\]](#page-9-13) propose a cost-effective approach by integrating unlabeled sample selection and model training, leveraging semi-supervised learning to distill information from both labeled and unlabeled samples. TOD [[16\]](#page-9-34) centers on a measure, which assesses sample loss through the evaluation of output discrepancies at various optimization steps, serving as a lower bound for accumulated sample loss. To step further, our work proposes a novel fused active selection strategy to harvest maximum gain with minimum cost while their works fail to enhance GNNs via effective interaction.



<span id="page-2-0"></span>Fig. 1. An illustration of the proposed fused active selection strategy. Our fusion selection strategy actively selects informative graphs from local similarity and global semantic structure, respectively. Afterward, we combine the advantages of both worlds via a hybrid fusion strategy on unlabeled graphs and then update them to the labeled data pool.

## **3. Methodology**

In this section, we first intuitively describe our GraphSpa and then formally present our techniques. After that, overall model optimization is introduced to perform a semi-supervised graph-level classification.

#### *3.1. Problem formulation and preliminary*

Let  $G = (V, E)$  represent a graph, in which V denotes the node set and E represents the edge set.  $x_v \in \mathbb{R}^F$  is adopted to represent the feature vector of  $v$ , in which  $F$  denotes the feature dimension. For active learning graph classification task, consider an unlabeled data pool of graphs  $G^U$ , where  $G^U = \{G_1, ..., G_{|G^U|}\}$ , and their labels  $\{y_1, \ldots, y_{|\mathcal{G}^U|}\}\)$  cannot be observed. In each cycle, we aim to select a fixed budget of examples from the unlabeled data pool  $\mathcal{G}^U$  and the chosen examples will be annotated using an oracle, and then added into the labeled data pool  $\mathcal{G}^L$ . The budget size  $\mathcal B$  is fixed, which is generally much smaller than the size of the unlabeled data pool  $\mathcal{G}^U$ . Our purpose is to learn a graph-level prediction model  $H : G \rightarrow y$  by selecting the most informative unlabeled examples for additional annotation.

#### *3.2. Overview*

This paper proposes a semi-supervised active learning framework GraphSpa as shown in [Fig.](#page-2-0) [1](#page-2-0). Previous methods utilize semi-supervised learning to overcome the scarcity of data annotations in the graph domain, which could suffer from biased and overconfident pseudolabels [\[2\]](#page-9-2). To tackle this, we propose to select informative graphs via active learning, which would facilitate both industry and academic applications in practice. The core of GraphSpa is to study local similarity and global semantic structure of the graphs, such that we can actively select informative graphs. Specifically, GraphSpa explores local similarity by a non-parameterized random walk kernel while the global semantic structure is modeled via multiple prototypes. Further, we integrate our fusion selection strategy into a unified semi-supervised framework. Supervised learning and contrastive learning are combined jointly to enhance the model optimization and improve the performance.

# *3.3. GNN encoder*

Recently, graph neural networks [\[8\]](#page-9-7) have gained increasing popularity owing to their powerful ability to learn structured data, which is capable of embedding graph structure into the learned node representations via message passing mechanism [\[39](#page-9-35)]. Specifically, the representation vector of a node  $v$  at layer  $k$  is represented by  $\mathbf{h}_v^{(k)}$ . For each node  $v \in V$ , first the representations from its neighbors at layer  $k-1$  would be aggregated. Then, the representation  $\mathbf{h}_{v}^{(k)}$  would be updated by combining the node representation of  $v$  in the previous layer with the aggregated neighbor representation in an iterative manner. Formally,  $\mathbf{h}_{\upsilon}^{(k)}$  is calculated as:

$$
\mathbf{h}_{v}^{(k)} = C_{\theta}^{(k)} \left( \mathbf{h}_{v}^{(k-1)}, \mathcal{A}_{\theta}^{(k)} \left( \left\{ \mathbf{h}_{u}^{(k-1)} \right\}_{u \in \mathcal{N}(v)} \right) \right), \tag{1}
$$

in which  $\mathcal{N}(v)$  collects the neighbors of v. Here  $\mathcal{A}_{\theta}^{(k)}$  and  $C_{\theta}^{(k)}$  denotes the aggregation and combination functions at the th layer, respectively. At last, we derive the graph-level embedding vector by aggregating all node embeddings at the last layer using a readout operation as follows:

$$
f_{\theta}(G) = \text{READOUT}\left(\left\{\mathbf{h}_{v}^{(K)}\right\}_{v \in V}\right),\tag{2}
$$

in which  $f_{\theta}(G)$  is the graph-level representation,  $\theta$  is the parameter of encoder. The readout operation is taking the sum of all the node representations in our implementation following recent works [[40\]](#page-9-36).

#### *3.4. Local selection strategy*

<span id="page-2-1"></span>Intuitively, graphs with similar local structures should have similar properties. On the contrary, a graph rich in information should be dissimilar to existing labeled graphs to seek the maximum gain for performance. For this purpose, we attempt to select informative graphs based on local similarity. Specifically, we first construct an adaptive queue  $G_q$  that is randomly selected from the labeled data as anchor graphs, and then updated following a first-in, first-out principle. Inspired by the Min-Max principle [[41](#page-9-37)], we select the unlabeled graphs minimizing the maximum similarity between the input graphs and the queue of labeled graphs, such that the selected graphs can better represent the data distribution, providing more richness and diversity with the same budget. Formally, we define a measurement indicating the similarity from each input  $G$  to the queue:

<span id="page-2-2"></span>
$$
\phi_l(G) = \max_{G' \in \mathcal{G}_q} \text{Sim}(G, G'),\tag{3}
$$

where Sim( $\cdot$ , ·) measures the similarity of two graphs and  $G' \in \mathcal{G}_a$ is the graph in the queue. The graphs in the unlabeled pool with lower local similarity will be selected for annotation and added to the labeled pool. In other words, we choose a subset  $B_l$  in the unlabeled pool such that  $\sum_{G \in B_i} \phi_i(G)$  is minimized according to the Min-Max principle [\[41](#page-9-37)]. Specifically, we arrange the graph samples from the unlabeled data pool into an ordered set using the aforementioned local selection strategy. For different datasets, we apply appropriate thresholds to select a subset that meets the criteria, forming the final  $B_l$ . As limited annotation information is available, we measure the pairwise similarity in a non-parameterized manner. Moreover, to capture the local structure more effectively, we propose to employ the random walk kernel, which is widely used in graph matching [[7\]](#page-9-6), to explore graph topology information for similarity measurement.

Specifically, we first review the definition of graph direct product. Considering two graph samples  $G = (V, E)$  and  $G' = (V', E')$ , their direct product  $G_x = (V_x, E_x)$  is still a graph in which  $V_x = \{(v, v') :$  $v \in V \land v' \in V'$ } and  $E_x = \{ \{ (v, v'), (u, u') \} : \{ v, u \} \in E \land \{ v', u' \}$  $\in E'$ . It has been proven that conducting a random walk on direct product  $G_{\times}$  of  $G$  and  $G'$  is equivalent to running a concurrent random walk on two original graphs [\[42](#page-9-38)]. Note that traditional random walk kernels can count all pairs of matching walks on  $G$  and  $G'$ . On this basis, the number of matching random walks could be derived from the adjacency matrix  $A_{\gamma}$  when the starting and stopping probabilities over nodes in original graphs are from uniform distributions. Then, the P-step random walk kernel between  $G$  and  $G'$  can be written as:

$$
k(G, G') = \sum_{i=1}^{|V_{\times}|} \sum_{j=1}^{|V_{\times}|} \left[ \sum_{p=0}^{P} \lambda_{p} A_{\times}^{p} \right]_{ij},
$$
\n(4)

in which  $\lambda_0, \ldots, \lambda_P$  are positive, real-valued weights. Eq. ([4](#page-3-0)) indicates that the random walk kernel  $k(G, G')$  considers the sum of kernel values for the number of common walks of length from 1 to P. However, considering all lengths simultaneously can incur a certain computational cost. To improve computational efficiency, we exactly calculate the number of common walks with length  $p$  between two graphs, where we set  $\lambda_p = 1$  in this case:

$$
\text{Sim}(G, G') = k^{(p)}(G, G') = \sum_{i=1}^{|V_{\times}|} \sum_{j=1}^{|V_{\times}|} \left[ A_{\times}^{p} \right]_{ij}.
$$
 (5)

In this manner, we are capable of efficiently selecting informative graphs by capturing topology information with a non-parameterized random walk kernel from the perspective of the local structure similarity. For example, structural information indicates the property of molecules and proteins, which is very crucial for effective graph classification. Our local selection strategy aims to select informative graphs away from labeled graphs from the topological view.

## *3.5. Global selection strategy*

<span id="page-3-2"></span>For the entire set of graphs, effective graph representations in the embedding space should be able to reflect the global semantic structure of the data, so that the graph samples with similar semantic properties such as the same class label are compactly embedded. To this end, we introduce an additional set of model parameters to represent the class prototypes of different labels in the latent space. They are formally defined as  $C = {c_l}_{l=1}^L$  where L denotes the class number. The goal of global-semantic learning is to encourage the graphs to be embedded close to each other around corresponding class prototypes. After obtaining embedding  $z$  of each graph  $G$  based on the GNN-based encoder, the assignment probability for each graph is formalized as:

$$
P(y = l|G) = \frac{\exp(\mathbf{z}^T \mathbf{c}_l)}{\sum_{l'=1}^{L} \exp(\mathbf{z}^T \mathbf{c}'_l)}.
$$
 (6)

where the prototypes  $\{c_i\}_{i=1}^L$  in our global selection strategy is used to represent the centroids of different classes in the latent space. In our implementation, we randomly initialize these prototypes and then update them using Adam optimizer by minimizing the cross-entropy loss using Eq. ([6](#page-3-1)) on labeled graphs.

Following [[15\]](#page-9-13), we believe that labeling examples with highly inconsistency should of great value since these examples are different to be optimized without supervised loss. Instead, querying an oracle to annotate these challenging samples can ensure the correctness of labels, and enable them to be beneficial for model training at the next cycle. Motivated by this, we introduce a simple measurement to calculate the inconsistency of global predictions:

$$
\phi_g(G) = ||p(y|G; \Phi_t) - p(y|G; \Phi_{t-1})||,
$$
\n(7)

where  $\Phi = {\theta, C}$  denotes the set of the whole parameters and  $\Phi_t$ implies the parameters at the end of *t*th cycle.  $p(y|G; \Phi_t) = [p(y =$  $1|G; \Phi_t$ ,,...,  $p(y = L|G; \Phi_t)$  is the label distribution. The measurement calculates the difference between assignment probabilities between two cycles, which implies the stability of the assignment probability for each graph. For this reason, the graphs in the unlabeled pool with higher inconsistency will be regarded as rich in information. In other words, we aim to choose a subset  $B_g$  such that  $\sum_{G \in B_g} \phi_g(G)$  is maximized. Specifically, we arrange the graph samples from the unlabeled data pool into an ordered set using the aforementioned global selection

<span id="page-3-0"></span>strategy. For different datasets, we apply appropriate thresholds to select a subset that meets the criteria, forming the final  $B_g$ . In this way, we are capable of selecting informative graphs by exploring the graph's semantic properties via multiple class prototypes from a global perspective. Our global selection strategy is close to graph classification since it focus on the assignment probability of each graph directly. Take an example, when we cannot get consistent knowledge for some molecules during our learning, we would consider them informative.

### *3.6. Hybrid fusion strategy*

Either of the two selection strategies proposed above has its inherent interest preference. Intuitively, we expect to sample unlabeled graphs under the collaboration between both selection strategies to overcome instability and bias. In particular, we develop three different hybrid fusion strategies to combine the advantages of both worlds and couple the information from both local and global perspectives for effective active learning.

**Intersection.** A straightforward fusion way is to select the informative graph only if it is considered reliable by both strategies. Specifically, we first choose subset  $B_l$  and  $B_g$  following  $\phi_l$  and  $\phi_g$  according to Sec-tions [3.4](#page-2-1) and [3.5.](#page-3-2) Here, taking  $B_i$  as an example, we arrange the graph samples from the unlabeled data pool into an ordered set using the aforementioned local selection strategy. For different datasets, we apply appropriate thresholds to select a subset that meets the criteria, forming the final  $B_l$ . On the other hand,  $B_g$  is obtained using the aforementioned global selection strategy, and then select the subset  $B_h = B_l \cap B_g$  as the final informative graphs to be annotated by the oracle, here the size of  $B_h$  is equal to the number of graph samples required in each cycle of the active learning strategy. Note that when two sets have no interaction, we will attempt to loose the condition to enlarge both two sets.

**Union.** It seems that directly using the intersection set of global and local graph sets may ignore some good informative samples, leading to some information loss and suboptimal performance. To this end, an alternative fusion way is to select the informative graphs by both strategies and choose the subset  $B_h = B_l \cup B_g$  as the final informative graphs, where the acquisition of  $B_l$  and  $B_g$  is obtained is same as the way in **Intersection**, except for selecting appropriate threshold conditions, such that the size of  $B<sub>h</sub>$  is equal to the number of graph samples required in each cycle of the active learning strategy.

<span id="page-3-1"></span>**Attention.** It is often not appropriate to select the informative graphs through the fixed strategies, so we further introduce some weights on the two strategies as additional model parameters which are updated during model training. Formally, we introduce a learnable weight vector  $\mathbf{w} = [w_1, w_2]$ . As the weights are tailored for two different strategies and shared across different graphs, this hybrid fusion strategy ensures both flexibility and effectiveness. Specifically, our attention strategy outputs the final score:

<span id="page-3-4"></span>
$$
\phi_a(G) = \sigma(w_1 \phi_l(G) + w_2 \phi_g(G)),\tag{8}
$$

<span id="page-3-3"></span>where  $\sigma$  denotes the sigmoid function. The ground truth of  $\phi_a(G)$  is defined as the  $1 - P(y = y_G|G)$  where  $y_G$  is the label of G since harder samples with lower predictive accuracy indicate high values for active learning. In practice, we make use of validation data with regression loss for optimization of the weight vector and then similarly choose a subset *B<sub>h</sub>* which maximizes  $\sum_{G \in B_h} \phi_a(G)$ , where the size of *B<sub>h</sub>* is equal to the number of graph samples required in each cycle of the active learning strategy.

Based on these three different fusion strategies, the selected graphs can enhance the performance more effectively and efficiently. Besides, note that our hybrid fusion strategy combines the diversity-based method (i.e., the local strategy) and the uncertainty-based method (i.e., the global strategy), which can provide a comprehensive criterion to select more valuable informative graphs to benefit the process of model training.



<span id="page-4-0"></span>**Fig. 2.** An illustration of a semi-supervised active learning framework. The framework coupled with our fusion selection strategy in the active learning module is optimized by supervised loss as well as contrastive loss based on graph representations in the embedding space via graph augmentations.

#### *3.7. Semi-supervised active learning framework*

In this section, we integrate the above hybrid fusion strategy into a unified semi-supervised active learning framework in an effective way, as shown in [Fig.](#page-4-0) [2.](#page-4-0) There are always a wealth of available unlabeled graphs that usually exist in many domains. Although their labels are unknown, the structures of these unlabeled graphs can usually be adopted to help learn effective graph-level representations. Towards this end, we seek to leverage this information to further overcome the severe label scarcity.

**Contrastive Learning.** Inspired by recent graph contrastive learning [\[34](#page-9-30),[35\]](#page-9-31), we attempt to fully leverage the unlabeled data with contrastive learning to enhance the model training. Specifically, our model involves graph augmentations to build generalized graph-level representation pairs. Typically, there are four basic data augmentation strategies: (1) *Edge deletion* eliminates several edges from the graph at random. (2) *Node deletion* samples several nodes and eliminates them and their connected edges from the graph. (3) *Attribute masking* masks partial attributes of selected vertices at random. (4) *Subgraph* selects a subgraph using random walk. In practice, we build augmented graphs by choosing one of these operations at random.

To begin, we conduct the above stochastic graph augmentations for each graph, producing a positive pair, i.e.,  $\hat{G}_i$  and  $\hat{G}_j$ . After then, the GNN-based encoder  $f_{\theta}(\cdot)$  is used to extract graph-level representations  $z_i$  and  $z_j$  for augmented graphs  $\hat{G}_i$  and  $\hat{G}_j$ . We adopt the noisecontrastive estimation loss [\[43](#page-9-39)], which encourages us to enlarge the similarity between positive pairs, i.e.,  $\{z_i, z_j\}$  with the comparison to negative pairs. To generate negative pairs, we first construct a minibatch containing  $M$  graphs, which results in  $2M$  augmented samples, i.e.,  $\{\hat{G}_{m,i},\hat{G}_{m,j}\}_{m=1}^M.$  Then for each positive pair  $\hat{G}_{m,i}$  and  $\hat{G}_{m,j},$  the other  $M-1$  augmented graphs in the minibatch are considered as negatives. Let  $z_{m,i} \star z_{m,j}$  compute the similarity between  $z_{m,i}$  and  $z_{m,j}$ . If  $z_i$  and  $z_i$  are re-annotated as  $z_{m,i}$  and  $z_{m,i}$  for the *m*th graph, respectively, we compare two graph representations for the  $m$ th graph:

$$
\ell_{con} = -\log \frac{e^{\mathbf{z}_{m,i} \star \mathbf{z}_{m,j}/\tau}}{\sum_{m'=1}^{M} e^{\mathbf{z}_{m,i} \star \mathbf{z}_{m',j}/\tau}},
$$
\n(9)

where  $\tau$  is a temperature parameter set to 0.5 following [[34,](#page-9-30)[35\]](#page-9-31).

**Supervised Learning.** At each cycle, we minimize the supervised objective given the labeled set  $\mathcal{G}^L$  as follows:

$$
\mathcal{E}_{\text{sup}} = \frac{1}{|\mathcal{G}^L|} \sum_{G_j \in \mathcal{G}^L} \left[ -\log p \left( y_j \mid G_j \right) \right],\tag{10}
$$

where in the first cycle, the model is trained by annotating a random subset of the unlabeled data.

**Joint Optimization Loss.** By integrating the supervised learning loss and self-supervised contrastive learning loss, we minimize an overall learning objective at each cycle as follows:

```
\ell = \ell_{\text{sup}} + \ell_{\text{con}}. (11)
```
#### <span id="page-4-2"></span>**Algorithm 1** Learning Algorithm of GraphSpa

**Input:** Unlabeled pool  $\mathcal{G}^U$ . The total number of cycles  $R$ . **Parameter:** GNN module parameter  $\theta$ . Class prototype parameter  $C$ . **Output:** Jointly learned  $p(y|G)$ 

- 1: Initialize model parameter.
- 2: Sample samples from  $G^U$  and add to labeled pool  $G^L$ .
- 3: **for**  $r = 1, 2, \dots, R$  **do**
- 4: **while** not convergence **do** 5: Forward propagation through graph augmentation and GNNbased encoder.  $/*$  Eq. [\(11](#page-4-1))  $*/$
- 6: Calculate loss function in Eq. ([11\)](#page-4-1).
- 7: Update parameters through back-propagation.
- 8: **end while**  $/* Eq. (3), Eq. (7)$  \*/
- 9: Choose subset  $B_l$  and  $B_g$  following  $\phi_l$  and  $\phi_g$ . /\* Eq. [\(8](#page-3-4)) \*/
- 10: Select subset  $B_h$  through hybrid fusion strategy.
- 11: Update queue with  $B_h$  following a first-in, first-out manner.



<span id="page-4-3"></span>



In this way, we are able to fully leverage the unlabeled data combined with our effective fused active selection strategy in our semisupervised active learning framework. The training procedure of our GraphSpa is shown in Algorithm [1.](#page-4-2)

#### **4. Experiment**

#### *4.1. Experimental settings*

<span id="page-4-1"></span>**Datasets.** To evaluate the effectiveness of our GraphSpa, we conduct experiments on six benchmark datasets [[44\]](#page-9-40), including two bioinformatics datasets (i.e., PROTEINS and DD), four social network datasets (i.e., IMDB-B, IMDB-M, REDDIT-B, and REDDIT-M-5k). The statistics of these datasets are summarized in [Table](#page-4-3) [1.](#page-4-3) Following previous works [\[33](#page-9-28)], we use all-ones embeddings as initial node features if their attributes are not accessible. For each dataset, we randomly select 70% and 20% of the whole data to constitute the train set and test set, and treat the remaining as validation set to tune hyper-parameters. We allocate 1/7 of the train set (i.e., 10% of the whole dataset) as a budget

<span id="page-5-0"></span>**Table 2**

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available for label annotation, while the remaining data in the train set is considered as the unlabeled set.

**Baselines.** To show the superiority of our approach, we compare our GraphSpa with competitive baselines which can be boiled down to four categories, i.e., traditional graph approaches, traditional semisupervised approaches, graph-specific semi-supervised approaches and active learning approaches. Traditional graph approaches include Graphlet Kernel (GK) [[45\]](#page-9-41), Shortest Path Kernel (SP) [[46\]](#page-9-42), Weisfeiler–Lehman (WL) Kernel [[47\]](#page-9-43), DGK [[48\]](#page-9-44), Sub2Vec [\[49](#page-9-45)], and Graph2Vec [[50\]](#page-9-46). Traditional semi-supervised approaches include EntMin  $[29]$  $[29]$ ,  $\Pi$ -Model  $[32]$  $[32]$ , Mean-Teacher [[32\]](#page-9-27) and VAT [\[51](#page-9-47)]. Graph-specific semi-supervised approaches include InfoGraph [[33\]](#page-9-28), GraphCL [[34\]](#page-9-30), JOAO [[35\]](#page-9-31), Dual-Graph [[36\]](#page-9-29), and GHNN [\[52](#page-9-48)]. Active learning approaches include ASGN [[2](#page-9-2)], MCDAL [[22\]](#page-9-19), GALAXY [[53\]](#page-9-49) and ASGNN [[54\]](#page-9-50).

**Parameter Settings.** All the experiments are implemented using Py-Torch. Following previous works [[33\]](#page-9-28), GIN [[40](#page-9-36)] is adopted as the GNN encoder  $f_{\theta}$ . We search for the optimal parameters on the validation set and evaluate the model on the test set. The total number of active learning cycles  $R$  is set to 9, while the number of data samples queried in each cycle is set to  $B/(R + 1)$ . The random walk length p is set to 3. To promise a fair comparison, the batch size is set to 64 and the total number of epochs is set to 100 for all datasets. The dimension of hidden embeddings is set to 64 for all datasets. We use the ''Intersection'' as our default hybrid strategy in our experiment. The parameters for all baseline approaches are carefully tuned following their corresponding papers to achieve optimal performance.

## *4.2. Performance comparison*

In [Table](#page-5-0) [2,](#page-5-0) we summarize the quantitative findings of semi-supervised graph classification. Here we compare our method GraphSpa with all baseline methods in a fair setting. For example, when we have 10% of the total dataset as labeling budgets, GraphSpa, ASGN, MCDAL, GALAXY and ASGNN start the training with a randomly selected 1% labeling budgets and conduct active learning selection until 10% labeling budgets are utilized, while InfoGraph, GraphCL, JOAO, DualGraph and GHNN are directly trained with 10% labeling budgets. Note that the training of the latter does not involve active learning, but all methods are conducted with the same budgets for a fair comparison. From the comprehensive views, we have the following observations:

- The majority of traditional graph methods are inferior to other approaches, which indicates that these graph methods may be ineffective in capturing effective information via GNNs. Moreover, features in these methods are typically heuristic, which results in worse generalization ability.
- A general observation is that graph-specific semi-supervised learning approaches perform better than traditional semi-supervised learning techniques by a significant margin, which verifies that models specifically designed for graph-structured data have strong representation capability in capturing effective information of the graph topology and node attributes.
- By incorporating contrastive learning into GNNs, the recent stateof-the-art method GHNN has obtained high enough performance, which pushes away the other graph-specific semi-supervised learning baselines (InfoGraph, GraphCL, JOAO and DualGraph), sufficiently showing the effectiveness of the instance discrimination principle for contrastive learning and complementary two-branch learning framework.
- For four active learning baselines, the latest ASGNN achieves the best results on most datasets. Similar to our framework, it also simultaneously considers the uncertainty of sample predictions and selects representative samples with diversity, maximizing the effectiveness of the active learning strategy. The other three baselines (ASGN, MCDAL and GALAXY) only take into account partial factors, leading to sub-optimal results.
- Overall, from the results, it can be observed that our framework GraphSpa outperforms the baselines on most datasets, showing the superiority and efficacy of our approach. We attribute the performance gain to two factors: (i) The effective sample selection strategy. Our selection strategy explores both local similarity and global semantic structure, sampling informative graphs for annotation. (ii) The semi-supervised active learning framework. We integrate both self-supervised learning and active learning in a principled manner which can be beneficial for classification.
- We have conducted statistical analysis of Wilcoxon tests to justify that the gains with the best baseline are statistically significant with  $p$ -value  $<$  0.1. From the [Table](#page-5-0) [2,](#page-5-0) We observed statistically significant improvements in the performance of our model on three out of six datasets. The lack of significance on the remaining datasets may be attributed to the limited gains achieved solely through active learning, as our base model is relatively basic. Introducing more sophisticated self-supervised techniques to fully harness unlabeled graphs might further enhance performance.

<span id="page-6-0"></span>**Table 3**

Performance comparison under different dataset split settings over five runs (in %).

Methods	<b>PROTEINS</b>	DD	<b>IMDB-B</b>	<b>IMDB-M</b>	REDDIT-B	REDDIT-M-5k
MCDAL	$70.4 + 1.1$	$70.8 + 2.1$	$70.0 + 2.7$	$44.1 + 2.1$	$75.6 + 1.0$	$43.2 + 1.4$
ASGNN	$71.2 + 1.6$	$71.0 + 1.6$	$69.1 + 3.0$	$43.9 + 1.4$	$75.3 + 0.9$	$43.6 + 1.0$
GraphSpa	$71.8 + 0.9$	$71.9 + 1.2$	$70.6 + 2.7$	$44.6 + 1.1$	$76.1 + 0.9$	$44.2 + 0.8$



**Fig. 3.** Performance on datasets *w.r.t.* the amounts of annotation budget (i.e., 5%, 10%, 20%, 30%) and all the unlabeled data.

<span id="page-6-1"></span>• Finally, we analyze the impact of different data splits in [Table](#page-6-0) [3](#page-6-0). We conduct five random splits, recording the mean and standard deviation. Here, we compare our GraphSpa model with the two latest methods (MCDAL and ASGNN), and the results consistently demonstrate our model's superiority across all datasets. This further showcases the robustness and excellence of our framework and the proposed active learning strategy.

*Influence of different labeling budget rates.* In this section, we show the model performance with different rates of labeling budgets (i.e., labeled data). As illustrated in Figure [Fig.](#page-6-1) [3](#page-6-1), the following observations can be inferred from the results:

- Overall, the findings indicate that the performance of all methods improves with the increase of the number of accessible labeling budgets. The reason is that graph classification methods are inherently data-driven, and labeling budgets contain the most discriminative signals for category analysis, showing that increasing the number of labeling budgets is an effective way for training.
- Among all the methods, our GraphSpa consistently achieves the best results with the increase of labeling budgets, which indicates that actively selecting informative graphs via our proposed strategy further improves ability by selecting the most representative samples with minimal labeling costs, thereby outperforming the baselines with an even greater margin.

*Effectiveness analysis of the proposed active learning methods.* To better illustrate the effectiveness and superiority of the active learning strategy proposed in our framework, we combine some representative baseline methods (InfoGraph and GraphCL) with our proposed active learning strategy. In other words, we train these baseline methods along with the graph samples selected through active learning in our GraphSpa for fair comparison. As shown in [Table](#page-6-2) [4,](#page-6-2) we observe consistent performance improvements when both GraphCL and InfoGraph are equipped

#### **Table 4**

<span id="page-6-2"></span>



with our active learning strategy, emphasizing the effectiveness of our proposed active learning strategy. However, we find that GraphCL with A outperforms our GraphSpa on certain datasets, which is natural as our framework employs a basic model combined with an active learning strategy for training, while other baselines incorporate their respective more complex techniques. Nevertheless, our method still achieves optimality on many datasets, further affirming the superiority of our active learning strategy.

## *4.3. Ablation study*

In this section, we investigate a few variants to demonstrate how every part of our model affects the performance:

- **GNN-Sup.** Our base model, which trains a GNN solely on initial random labeled data in a fully supervised way.
- **GraphSpa w/o A.** We do not use an active learning strategy to select data for annotation.
- **GraphSpa w/o L.** We remove the local selection strategy and only adopt the global selection strategy.
- **GraphSpa w/o G.** We remove the global selection strategy and only adopt the local selection strategy.
- **GraphSpa w/o C.** We remove the contrastive learning loss and only adopt a hybrid active learning strategy.

<span id="page-7-0"></span>**Table 5** Ablation study of several model variants (in %).

$\ldots$							
Methods	<b>PROTEINS</b>	DD	<b>IMDB-B</b>	<b>IMDB-M</b>	REDDIT-B	REDDIT-M-5k	
GNN-Sup	$63.3 + 1.4$	$62.5 + 1.5$	$63.4 + 2.1$	$39.2 + 1.6$	$69.8 + 1.1$	$38.6 + 2.5$	
GraphSpa w/o A	$66.7 + 1.6$	$65.4 + 1.7$	$64.5 + 1.3$	$41.2 + 1.1$	$71.3 + 0.8$	$36.7 + 1.3$	
GraphSpa w/o L	$70.1 + 1.3$	$69.8 + 1.2$	$70.2 + 1.0$	$40.6 + 1.7$	$74.5 + 1.4$	$39.9 + 1.0$	
GraphSpa w/o G	$69.6 + 1.2$	$69.3 + 1.1$	$71.1 + 0.7$	$42.0 + 1.8$	$72.3 + 1.6$	$36.4 + 1.8$	
GraphSpa w/o C	$70.7 + 1.3$	$70.2 + 1.2$	$71.7 + 1.0$	$43.5 + 2.8$	$75.8 + 2.2$	$42.1 + 1.5$	
Full model (Ours)	$71.2 + 0.7$	$71.4 + 0.8$	$72.3 + 1.1$	$44.5 + 0.6$	$76.5 + 0.4$	$44.0 + 0.6$	

**Table 6**

<span id="page-7-1"></span>

Embedding dimensions	8	16	32	64	128	256
<b>PROTEINS</b>			$66.8 + 1.7$ $68.8 + 0.9$ $70.3 + 1.4$ $71.2 + 0.7$ $71.0 + 1.2$ $70.2 + 1.3$			
DD.			$70.6 + 1.2$ $71.3 + 0.8$ $70.8 + 1.1$ $71.4 + 0.8$ $71.1 + 0.7$ $71.7 + 0.9$			
<b>IMDB-B</b>			$68.2 \pm 1.4$ $70.3 \pm 1.2$ $72.2 \pm 0.9$ $72.3 \pm 1.1$ $72.5 \pm 1.0$ $72.3 \pm 0.8$			
<b>IMDB-M</b>			$39.3 + 0.6$ $41.4 + 0.9$ $43.8 + 0.5$ $44.5 + 0.6$ $44.1 + 0.8$ $44.3 + 0.5$			
REDDIT-B			$73.7 + 1.1$ $74.5 + 0.8$ $75.7 + 0.5$ $76.5 + 0.4$ $76.3 + 0.7$ $76.4 + 0.6$			
REDDIT-M-5k			$37.3 + 1.2$ $40.2 + 0.7$ $43.5 + 0.7$ $44.0 + 0.6$ $44.3 + 0.4$ $44.2 + 0.5$			

<span id="page-7-2"></span>**Table 7** Performance *w.r.t.* the random walk length on all datasets (in %).



The results of the model variants are summarized in [Table](#page-7-0) [5.](#page-7-0) First, we can observe that our full model outperforms GraphSpa w/o A consistently, which indicates that the active learning strategy plays a vital role in our semi-supervised graph classification, thus implying the effectiveness of our hybrid selection strategy. Second, the full model also outperforms both GraphSpa w/o L and GraphSpa w/o G, showing that both the local selection strategy and global selection strategy are indispensable for improving the performance. Moreover, GraphSpa w/o L performs better than GraphSpa w/o G, which demonstrates the superiority of the uncertainty in the global selection strategy. Third, with contrastive loss, GraphSpa w/o A outperforms GNN-Sup and the full model outperforms GraphSpa w/o C, both of these comparisons support our assumption that contrastive loss may be beneficial in semi-supervised scenarios, which aligns with our expectations.

#### *4.4. Parameter analysis*

Here we study how the performance of GraphSpa varies with different parameter settings. Specifically, we investigate the impact of the embedding dimensions of hidden layers  $d$  in [Table](#page-7-1) [6,](#page-7-1) the random walk length  $p$  in [Table](#page-7-3) [7](#page-7-2) and different hybrid strategies in Table [8.](#page-7-3)

*Impact of the embedding dimensions.* We begin by examining the effect of the embedding dimensions of hidden layers  $d$ . We hypothesize that increasing the embedding dimensions would enhance the model's capacity and, thus, its performance. We fix all other parameters to their optimal values and vary  $d$  in the range of  $\{8, 16, 32, 64, 128\}$ . Our observations indicate that enlarging the embedding size generally results in performance improvements until a point of saturation is reached. The model exhibits a certain level of fluctuation, or even a decline, when using particularly large embedding dimensions. The possible reason is that the model has reached saturation, and further increasing the dimension may lead to underfitting.

**Table 8**

<span id="page-7-3"></span>

Attention 70*.*7 ± 1*.*6 71*.*5 ± 0*.*8 72*.*6 ± 1*.*2 44*.*2 ± 1*.*3 76*.*1 ± 0*.*5 43*.*9 ± 0*.*6

**Table 9**

<span id="page-7-4"></span>



*Impact of the random walk length.* We conduct further investigation on the impact of the random walk length  $p$  in the local selection strategy. By varying  $p$  in the range of  $\{1, 2, 3, 4, 5, 6\}$  while keeping the other parameters constant, we observe that increasing  *improves the* model's performance, particularly when  $p$  is small. This suggests that our random walk kernel can effectively detect more valid substructures with larger lengths, thereby enhancing the learning of graph topology. However, if  $p$  is too large, it may lead to a decrease in performance. This may be due to the fact that excessively long random walks are less stable in distinguishing graph similarities.

*Impact of different hybrid fusion strategies.* We finally investigate the impact of different hybrid fusion strategies for our approach. As illustrated in [Table](#page-7-3) [8,](#page-7-3) our results indicate that the performance of our approach is not significantly affected by different hybrid fusion strategies, suggesting the robustness of our fusion selection strategy. Interestingly, the results of the ''Union'' strategy were lower than those of the other two strategies. This may be due to the selected samples not comprehensively considering the agreement of both strategies, leading to biased sample selection.

#### *4.5. Runtime analysis*

Here, we compare our proposed GraphSpa method with the two latest active learning methods. We test the runtime of the selection strategy for each cycle of active learning to further demonstrate the efficiency of our proposed strategy. As shown in [Table](#page-7-4) [9,](#page-7-4) we can observe that the runtime of our active learning selection strategy is the shortest on almost all datasets, fully illustrating the robustness and efficiency of our selection strategy. This makes it more suitable for many practical applications, especially in fields prioritizing efficiency.

## *4.6. Case study*

We analyze the learning curves and convergence depicted in [Fig.](#page-8-0) [4](#page-8-0). We take the DD as an example, and compare our proposed GraphSpa with the representative baseline *Entropy*, which is widely considered as an uncertainty-based baseline. It chooses uncertain samples with the greatest entropy in terms of predicted class probabilities. As can be seen, both methods achieved a significant reduction in train and test losses within only a few iterations and eventually converged well.



**Fig. 4.** Loss *w.r.t.* two selection strategies on DD.

<span id="page-8-0"></span>This suggests that selecting samples via active learning can indeed provide abundant supervision signals to guide the gradient optimization effectively. Additionally, the losses of GraphSpa decrease more steadily than those of the baseline for each cycle, indicating that GraphSpa may choose more relevant graphs beneficial to model training in each cycle. This case further demonstrates the superiority of our hybrid fusion selection strategy, which considers both local similarity and global semantic structure.

#### **5. Discussion**

## *5.1. Potential applicability*

Our proposed active learning strategy can be highly applicable in real-life use cases for graph-level classification, offering several advantages in various domains as follows:

- Resource Allocation: In resource-constrained environments, our framework helps optimize the allocation of labeling resources. It ensures that limited resources are spent on the most critical and informative graphs, making it a valuable tool for organizations with budget constraints.
- Medicine and Biology: Our framework is instrumental in advancing bioinformatics and medical research, offering invaluable contributions to fields such as protein-protein interaction prediction and drug discovery. It assists in prioritizing experiments or data collection for the most promising candidates, reducing experimental costs.
- Natural Language Processing (NLP): In NLP tasks that involve graph representations, our proposed framework aids in document classification, entity recognition, or relation extraction by prioritizing the labeling of documents or entities that are most informative for the task.
- Environmental Monitoring: Our framework can be applied to environmental data analysis, such as ecosystem modeling or climate forecasting, by selecting the most critical data points or sensor readings for labeling to improve predictive accuracy.

## *5.2. Potential limitation*

On the one hand, our active learning strategy involves using graph kernel techniques to compute the similarity between graphs. However, this may pose certain limitations when dealing with extremely largescale graph data in practical deployments. In the future, we can explore the use of learnable graph kernel techniques to flexibly model the similarity computation between graphs, effectively increasing the scalability of our strategy. On the other hand, our active learning strategy requires calculating the probability prediction differences of graph samples between adjacent cycles, which could potentially increase the computational cost of the model. Additionally, besides the active learning strategy, we currently employ relatively common contrastive learning techniques to make the most of the abundant unlabeled graph

data present in real-world applications. However, this approach may not fully and effectively extract the inherent semantics of the data. In future work, we can explore more sophisticated techniques to uncover more comprehensive semantic information from graph data, such as large-scale pretraining.

#### **6. Conclusion**

This paper tackles the task of semi-supervised graph-level classification under limited labeling budgets, which is a practical yet underexplored problem. To address this challenge, we propose GraphSpa, an effective approach that actively selects informative graphs for subsequent training using our hybrid fusion selection strategy that combines local similarity and global semantic structure. Furthermore, we introduce a novel semi-supervised active learning framework that incorporates graph contrastive learning into active learning. Our extensive experiments on a range of well-known benchmark datasets demonstrate the effectiveness of our proposed GraphSpa.

Going forward, we plan to extend our method to real-world applications such as molecular conformation generation and protein function prediction. We also aim to enhance our approach by incorporating graph similarity learning and advanced bootstrapping theories to improve sample selection.

## **CRediT authorship contribution statement**

**Wei Ju:** Conceptualization, Funding acquisition, Writing – original draft. **Zhengyang Mao:** Software, Writing – review & editing. **Ziyue Qiao:** Software, Writing – review & editing. **Yifang Qin:** Software, Writing – review & editing. **Siyu Yi:** Software, Writing – review & editing. **Zhiping Xiao:** Writing – review & editing. **Xiao Luo:** Conceptualization, Methodology, Writing – review & editing. **Yanjie Fu:** Conceptualization, Methodology. **Ming Zhang:** Conceptualization, Funding acquisition, Project administration.

#### **Declaration of competing interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## **Data availability**

Data will be made available on request.

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